

Principles of Clinical Research

Prepared by: Robert Bortolussi

Dalhousie University and IWK Health Centre, Halifax, Canada



Objectives

Be aware of the importance of:

- Good Clinical Practice
- Strategies on how to answer your scientific question?
 - Research Design
 - Quantitative methods
 - Qualitative methods



What is Good Clinical Practice in research?

- GCP is the international standard for:
 - designing,
 - conducting,
 - recording, and
 - reporting clinical trials
- Protects research subjects
- Ensuring that process and data are accurate
- Minimizes possible fraud





GCP Responsibilities Researchers must:

- strictly conduct a clinical trial according to the protocol,
- document and maintain records and procedures,
- comply with regulatory requirements.
 and
- ensure that all ethical requirements are met





What are SOPs?

Standard operating procedures

- (SOPs) are defined by the International conference on Harmonization (ICH) GCP as:
- "detailed, written instructions to achieve uniformity of the performance of a specific function."



Written instructions, needed for a consistent approach to a research process.



How to make corrections to study documentation?

GCP defines procedures for changes to records. Person responsible must justify each correction.

- Draw a line through the entry,
- Show corrected entry and date beside it.
- Write initials of person making the correction.

Example:

Patient fell at home office: (25/6/2010 2.8.)

* Never erase or conceal the original entry.



Types of Health Research

Basic Science:

Clinical Studies:

Health Services:

Population Health:









Types of Methods

- Qualitative
- Quantitative





"Not everything that counts can be counted and not everything that can be counted, counts."

Albert Einstein

Qualitative Research

- Focuses on the meanings people attach to their experiences of the social world
- Interprets social phenomena (interactions & behaviours)
- Asks question about "Why?" and "How?" (not just; what, when, where?)



Qualitative Research When can we use it?

- Classify phenomena
- Answer the 'what is X?'

Results may be used to build a quantitative study

- Complement quantitative research- provide a different perspective on the same social phenomenon
- Stand alone to explore a social phenomenon



Qualitative Question Examples

Clinical: Improve patient adherence to clinical recommendations

Educational: Determine what influences career choices of graduate trainees

Administrative: Assess local needs and operations when implementing new programs

Other: Discover what influences the behaviours of patients and health workers for quality improvement



Qualitative Methods

- Interview (e.g. key informants)
- Focus Group Discussions
- Observations
- Review new documents (e.g. journals)
- Review existing documents
- Open-ended survey question



Focus Groups

Structured discussion with small number of subjects

- An interview guide is prepared beforehand and the researcher usually 'chairs- facilitates' the group
- Discussion is tape-recorded, then transcribed and analyzed
- Discussion continues until no new information comes out



Other Methods

Interviews

- Key informants,
- Stakeholders

Role-play and simulation

• Participants play a role, or observe roleplay, then asked to rate behaviour, report feelings, and predict further events.

Data Analysis: Organize into codes, categories & themes



MR Helpers for Qualitative Analysis Memory Stick Modules:

- Helper for Qualitative Design
- *By O'Hearn, Turyakira and Graham*
- Understand common study designs.
- Identify pros and cons to various methods.
- Practical design for two types of studies

Introduction to Qualitative Research *By Kielmann, Cataldo, Seeley*

- Interviews
- Focus Groups
- Observation
- Fieldwork
- Ethics and Logistics
- Data Analysis



Quantitative Analysis

- "Statistics deals with collection, analysis, interpretation, and presentation of numerical data.
- Statistical tests makes sense of data collected on a small sample of the population to allows us to extrapolate to more general conclusions."
- Explore relationships among factors
- Explain outcomes in terms of exposures

 Higher levels of arsenic and mercury lead to higher rates of birth defects
- Investigate causality vs. correlation
- Hawthorne Effect



Features of a good Quantitative Research Design

Has a clear & answerable research question

Has valid and reliable measures

- Enables us to compare variables
 - between groups, or
 - before and after an intervention
- Allow us to
 - quantify differences
 - establish temporal sequence
 - minimizes bias
 - control for confounding variables

Types of Clinical Studies

Observational Studies:

- Case Series
- Cross sectional
- Case Control
- Cohort study

Experimental Studies:

- Randomized controlled trials
- Nonrandomized controlled trials



Case Reports and Case Series

Case report: detailed report on a single case Case series: collection of similar cases

Both can teach a lesson and *help develop a hypothesis* based on insight gained from the report.





Case Reports and Case Series

Advantages:

- Easy to do
- recognition of new diseases
- formulation of hypotheses



Disadvantages:

- based on the experience of one person, or just a few people
- presence of any risk factor may be coincidental
- lack of an appropriate comparison group



Cross-sectional Study

Study population at a single point in timeuseful to determine: prevalence of factors frequency of a disease. current health status

Helpful to plan health services.

Advantages:

- quick and easy
- hypothesis generating

Disadvantages:

- May be hard to say if 'exposure' really preceded 'outcome'.
- Poor for testing hypotheses.



Case-control study



Selection of a control group is an critically important issue in any case-control study.

Ideal control group should be representative of the population from which the cases are derived (the *source population*).

Observational: Cohort Study

Observational study: well-defined group of research participants followed over time to see if different exposures lead to different outcomes.

Characteristics:

- must follow-up study with forward direction,
- can start in the present or the past



From Google images



Cohort Study

Advantages:

- least prone to bias compared to other observational designs
- exposures and causes are observed before effects
- Can be used to study several exposures and several outcomes
- Can asses impacts of treatments

Disadvantages:

- costly and time consuming to follow cohort forward in time
- loss-to-follow-up may lead to bias
- expensive design to study rare or diseases with long latencies
- for treatment, more prone to bias than RCT



Controlled Trials Randomized Control Trials (RCTs):

- Eliminates *bias* so statistical tests valid (e.g. t-test)
- Improves chance of having comparable groups
- Groups may differ in small studies (n<100)

Blinding

ideal if patient and/or investigator unaware of treatment assigned.

Registering Trials***

- All clinical trial must be registered as part of the Consolidated Standards of Reporting Trials (CONSORT)
- Standard required for publication
- To register go to the Pan African Clinical Trials
 TRegistry eighttp://www.pactnorg/ic



An RCT is the "gold standard" to evaluate an intervention

Controlled trials

Advantage:

- Allows investigator to control the research process.
- Randomized Control Trial (RCT) is *Gold* Standard of evaluation
- Outcome more likely to be accepted.

Disadvantages:

- Time and cost
- Restricted to interventions or exposures that can be randomized,
- Research participants may be so narrowly defined that it is difficult to generalize



Micro Research	Quantitative	Qualitative
Research Aims	Test hypotheses and establish cause and effect	Understand social phenomena in their natural settings
Study Design	Formal, objective, and systematic	Observational, holistic, and flexible
Sampling	Unbiased cross-section representative of the study population	Strategically <i>selected</i> to collect the most meaningful data
Methods	Measurement yielding <i>numeric data</i>	Interviews and observations yielding textual data
Analysis	Emphasis on statistical techniques to determine <i>significance</i>	Identify themes that emerge from the data 28

MicroResearch Program

Here are 4 proposed MR projects questions – what type of design would you use?

- What are the knowledge, practice and attitudes about blood donation in Kiruhura District in SW Uganda?
- What are the causes of death in first week of life in rural Uganda?
- What are the effects of the government decreed free maternity delivery services on the quality of maternal health care services at Pumoni hospital in Nairobi?
- Can cell phone reminders improve the antenatal care attendance of pregnant women in Semi-urban
 2014

Helpful Material

- Good Clinical Practice: Consolidated Guidelines (International). <u>http://www.fda.gov/ScienceResearch/SpecialTopics</u> <u>/RunningClinicalTrials/EducationalMaterials/ucm112</u> <u>925.htm</u>
- 2. Tutorials on clinical trials <u>http://library.downstate.edu/EBM2/contents.htm</u>
- 3. Users' Guides to the Medical Literature: <u>http://ugi.usersguides.org/usersguides/hg/hh_start_.asp</u>
- 4. On Memory Stick
- *Quantitative design a general overview*
- Helper for Quantitative Design (Draft)
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Micro Introduction to Qualitative Research